

✓ FILE COPY Receipt \$

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231			
Typed or Printed Name		Martha Cisneros	
Signature		Date August 8, 2000	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Attorney Docket	GUID-003DIV2
		First Named Inventor	Charles S. Taylor
		Application Number	09/440,106
		Filing Date	November 15, 1999
		Group Art Unit	3736
		Examiner Name	Unknown
		Title: Surgical Devices for Imposing a Negative Pressure to Stabilize Cardiac Tissue During Surgery	

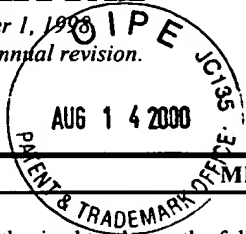
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B)	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement, 1449 and references	<input type="checkbox"/> Revocation	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Associate	<u>Request for Corrected Filing Receipt</u>
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Change of Correspondence Address	<u>Copy of Receipt with Corrections</u>
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts)	<input type="checkbox"/> Terminal Disclaimer	<u>Return Postcard</u>
	<input type="checkbox"/> Small Entity Statement	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Individual Name	Alan W. Cannon	Registration No.	352977
Firm Name	BOZICEVIC FIELD & FRANCIS LLP		
Signature			
Date	August 8, 2000		

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FEE TRANSMITTAL

Note: Effective October 1, 1998
Patent fees are subject to annual revision.



Attorney Docket Number	UID-003DIV2
First Named Inventor	Charles S. Taylor
Application Number	09/440,106
Filing Date	November 15, 1999
Group Art Unit	3736
Examiner Name	Unknown

METHOD OF PAYMENT

- ☐ A Check Is Enclosed
- ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	- 20	=	x	\$
Ind. claims	- 3	=	x	
Multiple Dependent claims			x	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	380	216	190	Ext. for reply within second month	
118	1,360	218	680	Ext. for reply within fourth month	
119	300	219	150	Notice of Appeal	
121	260	221	130	Request for oral hearing	
141	1,210	241	605	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of IDS	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	580	244	290	Plant issue fee	
Other fee (specify)					25.

* Reduced by Basic Filing Fee Paid

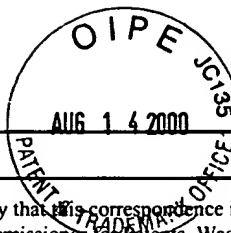
SUBTOTAL (3) 25.00

TOTAL AMOUNT TO BE CHARGED (3) 25.00

SUBMITTED BY

Typed or Printed Name	Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	34,977
Signature		Date	8/8/00
		Deposit Account	50-0815

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CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Martha Cisneros		
Signature		Date	August 8, 2000
REQUEST FOR CORRECTED FILING RECEIPT Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	GUID-003DIV2	
	First Named Inventor	Charles S. Taylor	
	Application Number	09/440,106	
	Filing Date	November 15, 1999	
	Group Art Unit	3736	
	Examiner Name	Unassigned	
	Title	Surgical Devices for Imposing a Negative Pressure to Stabilize Cardiac Tissue During Surgery	

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The mailing address reads "022249, LYON AND LYON LLP, Suite 4700, 633 West Fifth Street, Los Angeles CA 90071-2066," but should read --BOZICEVIC, FIELD & FRANCIS, LLP, 200 Middlefield Road, Suite 200, Menlo Park, CA 9402--
- (2) The Attorney Docket No. reads "246/227", but should read --GUID-003DIV2-- and
- (3) The Continuing Data as Claimed by Applicant reads " THIS APPLN IS A DIV OF 08/870,687 06/06/97", but should read --THIS APPLN IS A DIV OF 08/870,687 06/06/97 PAT 6,032,672--

Some of the above corrections are due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is a check.


☒ Charge Account No. 50-0815.

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Please return the enclosed postcard stamped with the Patent and Trademark Office date stamp to evidence receipt of this request.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: August 8, 2000

By: 
Alan W. Cannon
Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231

F:\DOCUMENT\GUID\003DIV2\Request for Corrected Filing Receipt.wpd

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/440,106	11/15/99	3736	\$952.00	246/227	7	22	5

022249

LYON & LYON LLP
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES CA 90071-2066

GUID-003DIV2

BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD ROAD, SUITE 200
MENLO PARK, CA 94025

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) CHARLES S. TAYLOR, SAN FRANCISCO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF 08/870,687 06/06/97

PAT 6,032,672

WHICH IS A DIV OF 08/603,328 02/20/96

PAT 5,727,569

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/07/00

TITLE

SURGICAL DEVICES FOR IMPOSING A NEGATIVE PRESSURE TO STABILIZE
CARDIAC TISSUE DURING SURGERY

PRELIMINARY CLASS: 128

BEST AVAILABLE COPY

DATA ENTRY BY: LADRINGAN, JUDITH

TEAM: 06 DATE: 01/07/00

|||||

(See reverse for new important information)